

NATIONAL SCIENCE FOUNDATION OFFICE OF INSPECTOR GENERAL OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

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We initiated this case after receiving an Institutional Review Board (IRB) non-compliance report from a University regarding an NSF-funded project involving human subjects research. Specifically, an IRB subcommittee determined that a PI collected data and changed data collection methods, the consent procedure, and research team members without IRB approval. It concluded that a lack of PI oversight resulted in the noncompliance.

The University suspended all IRB-related activities for the Award and the IRB implemented requirements to manage the non-compliance. The University implemented the IRB's requirements and took other actions to ensure the PI's research complies with human subjects research regulations.

We sent a warning letter to the PI, reminding him of his responsibility related to human subjects research.

This case is *closed* with no further action taken.