



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-20-0035-O

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We investigated an allegation that a Principal Investigator at an awardee institution failed to disclose research funding and affiliations in grant proposals submitted to NSF. The allegation was substantiated. Our investigation also found that the awardee institution falsely certified to NSF that it had implemented and was enforcing a written policy on conflicts of interest (COI). As a result of our investigation, the awardee institution implemented a comprehensive corrective action plan that included significant personnel actions and policy revisions. The institution also returned \$950,000 to NSF for its non-compliance with NSF's grant terms and conditions. The matter was referred to the United States Attorney's Office, which ultimately declined to move forward with civil or criminal enforcement.

This case will *closed* with no further action taken.