



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I-21-0030-O

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We investigated an allegation that a Principal Investigator (PI) did not fully disclose foreign research funding or his affiliation with a foreign university to NSF. We reviewed the professor's award documents, publications, and university grant records. We also conducted interviews of university staff members. Our investigation confirmed that the PI for the award had an employment contract with a foreign university that included research funding and a time commitment within the grant's period of performance that was not disclosed to NSF in the grant proposal. Evidence also showed that while the PI's supervisor was aware of his foreign affiliation, there was no formal record made to the university of the affiliation or associated research funding. Interviews further identified the university has no formal process for officials to review biographical sketches prior to proposal submission.

The case was referred to the Department of Justice, which declined the matter for civil prosecution. We counseled both the PI and the university on the importance of accurate and complete disclosures to NSF in compliance with the Proposal and Award Policies and Procedures Guide.

This case is closed with no further investigative action taken.