



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

MEMORANDUM OF INVESTIGATION

Case Number: I-21-0028-O

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We investigated the potential misuse of Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) funds by a professor at an awardee university. A previous investigation resulted in an internal university audit that found the same professor misused funds on four NSF Research Experiences for Undergraduates (REU) awards. We reviewed NSF grant documents, subpoenaed the awardees for detailed award records, and conducted a thorough financial analysis of the three SBIR/STTR awards associated with the professor. Our investigation did not substantiate the allegation. We did not identify any financial areas of concern or any evidence of misuse of funds on the three associated small business awards.

This case is **closed** with no further action taken.