



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I-20-0067-O

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We investigated an allegation that a Principal Investigator (PI), while employed as a university professor, did not fully disclose foreign research funding or his affiliations with foreign universities to NSF. We reviewed the professor's award documents, publications, travel records, and university grant records. We conducted interviews of university staff members. While our investigation substantiated that the PI did not make proper disclosures in his NSF grant proposals, we found no evidence that the PI was employed by the foreign universities after 2016 in other than limited visiting/honorary positions. Additionally, we discovered that the PI partially disclosed his foreign affiliations to officials at the awardee university.

The matter was referred to the Department of Justice, which ultimately declined to criminally prosecute the case. We counseled the PI on the importance of accurate and complete disclosures to NSF in compliance with the Proposal and Award Policies and Procedures Guide.

This case is closed with no further action taken.