



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I15090051

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We received concerns that an NSF PI was affiliated with two companies, Company A and Company B. We found that the PI failed to disclose his affiliation with Company A in documentation related to Company B. Based on this failure to disclose, we recommended suspension of an award to Company A; NSF agreed with our recommendation to do so. We determined that Company A failed to maintain records it represented to NSF it maintained. Based on this failure to maintain records and other misrepresentations Company A made to NSF, we recommended NSF withhold the final payment on a Company A award, which NSF did. This resulted in more than \$110,000 in funds put to better use. We also determined that Company A did not expend award funds for their intended purposes and found no evidence the company sought NSF approval for these deviations. Company A repaid more than \$60,000 to NSF. We referred this case to the U.S. Department of Justice, but it was declined for potential criminal and civil prosecution.

This case is **closed** with no further action taken.