



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I15060033

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We investigated an allegation that a PI was being paid by an NSF SBIR Phase I award at a small business while remaining fully employed by a university. Our investigation determined that the apparent duplicate payments occurred because of an administrative error at the university. The small business timely requested that the university reduce the PI's salary to comply with SBIR requirements. Despite the notification and request by the small business, the change in salary was not processed by the university and the PI continued to be paid at an incorrect level. We determined that the PI and the small business owner acted in good faith when they notified the university prior to the PI's employment on the SBIR award and requested reduced university salary. The university credited funds related to the salary overpayment back to the NSF award.

Accordingly, this case is closed with no further action taken.