

NATIONAL SCIENCE FOUNDATION OFFICE OF INSPECTOR GENERAL OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

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We received information regarding a potential duplicate proposal submission to NSF and another federal agency. Our investigation determined that the subject submitted an NSF proposal as PI and then, a few months later, submitted a nearly-identical proposal to the other agency as co-PI. NSF conducted a panel review of the NSF proposal with favorable results. A program officer at the other agency reviewed the second proposal at that agency, and then a week later saw (to his surprise) the nearly-identical NSF proposal during post-panel *ad hoc* review for NSF, albeit not before NSF had notified the PI of its preliminary intent to award. Within a short time the PI withdrew the proposal submitted to the other agency and sent NSF an updated Current and Pending Support form not listing the other agency. We concluded that, while the PI's conduct with respect to the other agency may have been questionable, no duplicate awards were made, no NSF rules were contravened, and no fraud involving submissions to NSF occurred.

This case is *closed* with no further action taken.